

22883

PATENT TRADEMARK OFFICE

PTO/SB/21 (12-97)

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Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

194

Application Number

09/093,533

Filing Date

June 8, 1998

First Named Inventor

Michael Malcolm

Group Art Unit

2756

Examiner Name

Not Assigned

Attorney Docket Number

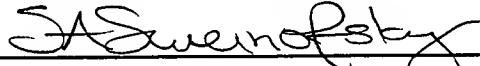
CASH-001

ENCLOSURES (check all that apply)

| | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input checked="" type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Form PTO-1449; Cited Art; and Return Postcard |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------------|----------------------|-----------------|
| Firm or Individual name | Steven A. Swernofsky | Reg. No. 33,040 |
|-------------------------------|----------------------|-----------------|

| | |
|-----------|---|
| Signature |  |
|-----------|---|

| | |
|------|------------------|
| Date | January 31, 2000 |
|------|------------------|

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 31, 2000

| | |
|-----------------------|----------------|
| Typed or printed name | Arlette Malhas |
|-----------------------|----------------|

| | |
|-----------|---|
| Signature |  |
|-----------|---|

| | |
|------|------------------|
| Date | January 31, 2000 |
|------|------------------|

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

22883

PTO/SB/17 (12/99)

PATENT TRADEMARK OFFICE

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

130

Complete if Known

| | |
|----------------------|---------------|
| Application Number | 09/093,533 |
| Filing Date | June 8, 1998 |
| First Named Inventor | Malcolm et al |
| Examiner Name | Not Assigned |
| Group / Art Unit | 2756 |
| Attorney Docket No. | CASH-001 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0365**Deposit Account Name **Steven A. Swernofsky** Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|-------------------------------|----------|
| 101 | 690 | 201 345 Utility filing fee | |
| 106 | 310 | 206 155 Design filing fee | |
| 107 | 480 | 207 240 Plant filing fee | |
| 108 | 690 | 208 345 Reissue filing fee | |
| 114 | 150 | 214 75 Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| Total Claims | -20** = | X | = | Fee from below | Fee Paid |
|--------------------|---------|---|---|----------------|----------|
| Independent Claims | - 3** = | X | = | | |
| Multiple Dependent | | | = | | |

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description |
|---------------|---------------|--|
| 103 | 18 | 203 9 Claims in excess of 20 |
| 102 | 78 | 202 39 Independent claims in excess of 3 |
| 104 | 260 | 204 130 Multiple dependent claim, if not paid |
| 109 | 78 | 209 39 ** Reissue independent claims over original patent |
| 110 | 18 | 210 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 380 | 216 | 190 | Extension for reply within second month | |
| 117 | 870 | 217 | 435 | Extension for reply within third month | |
| 118 | 1,360 | 218 | 680 | Extension for reply within fourth month | |
| 128 | 1,850 | 228 | 925 | Extension for reply within fifth month | |
| 119 | 300 | 219 | 150 | Notice of Appeal | |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 | 130 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 144 | 580 | 244 | 290 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | 130 |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | |

Other fee (specify) _____

Other fee (specify) _____

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

130

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|----------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Steven A. Swernofsky | Registration No. (Attorney/Agent) | 33,040 | Telephone | 650-947-0700 |
| Signature | <i>SA Swernofsky</i> | | | Date | 1-31-00 |

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT (NON-APPLICATION) POSTCARD

This confirms receipt of the following papers at the USPTO:

Docket No: CASH-001

Serial No: 09/093,533 Filing Date: June 8, 1998

Title of Inv: Network Object Cache Engine

First Named Inventor: Malcolm et al

PAPERS FILED:

- Transmittal Form SB/21
 Fee Transmittal Form SB/17 (2 copies) -- Check no. 5075 \$ 130.00
 Notice to File Missing Parts of Application (copy)
 Declaration or Oath Power of Atty Combined Decl/Power of Atty
 Small Entity Statement
 Assignment(s) Recordation Cov Sht 37 CFR 3.73(b) Statement
 Information Disclosure Statement with X Form 1449 X References
 Request for Corrected Filing Receipt
 Preliminary Amendment/OA Response Petition Routing Slip and
Accompanying Petition
 Notice of Appeal Appeal Brief
 Issue Fee Transmittal (2 copies)
 OTHER Return Postcard Formal Drawings _____ sheets

Attorney: Steven A. Swernofsky PTO Customer No: 22883

Mailed By: ACM Mailing Date: 1/31/00

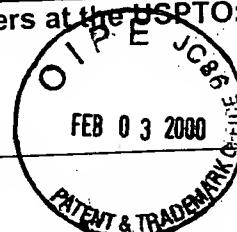
PATENT (NON-APPLICATION) POSTCARD

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Docket No: CASH-001

Serial No: 09/093,533 Filing Date: June 8, 1998

Title of Inv: Network Object Cache Engine



First Named Inventor: Malcolm et al

PAPERS FILED:

- Transmittal Form SB/21
 Fee Transmittal Form SB/17 (2 copies) -- Check no. 5075 \$ 130.00
 Notice to File Missing Parts of Application (copy)
 Declaration or Oath Power of Atty Combined Decl/Power of Atty
 Small Entity Statement
 Assignment(s) Recordation Cov Sht 37 CFR 3.73(b) Statement
 Information Disclosure Statement with X Form 1449 X References
 Request for Corrected Filing Receipt
 Preliminary Amendment/OA Response Petition Routing Slip and
Accompanying Petition
 Notice of Appeal Appeal Brief
 Issue Fee Transmittal (2 copies)
 OTHER Return Postcard Formal Drawings _____ sheets

Attorney: Steven A. Swernofsky PTO Customer No: 22883

Mailed By: ACM Mailing Date: 1/31/00

STEVEN A. SWERNOFSKY

ATTORNEY AT LAW
4970 WEST EL CAMINO REAL, SUITE 210
LOS ALTOS, CA 94022

5075

90-4153/1211

**COMMISSIONER OF
PATENTS & TRADEMARKS**

DATE 11/28/00

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09/09/93 533

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SA Swernofsky

MP